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Cardinal Splines of Odd Degree on Uniform Meshes*

J. H. Ahlberg

Division of Applied Mathematics, Brown University, Providence, Rhode Island 02912 Communicated by Oved Shisha Received November 9, 1970

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Consider a polynomial spline C(t) of degree *n* in the class $C^{n-1}(-\infty, \infty)$ with its nodes at the integers and which satisfies

$$C(j) = \delta_{0j}$$
 $(j = 0, \pm 1,...).$ (1)

Such a spline is commonly referred to as a *cardinal* spline. If *n* is odd, then C(t) exists provided the values of $C^{(n-1)}(t)$ at the nodal points satisfy the doubly-infinite system of linear equations

where $M_j = C^{(n-1)}(j)$ $(j = 0, \pm 1,...)$, the matrix entries $C_j(n)$ are given in [1], \overline{n} is defined by $2\overline{n} = n - 1$,¹ and we let $\binom{2\overline{n}}{k} = 0$ for k < 0 or $k > 2\overline{n}$. Under

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¹ We assume throughout this discussion that $\bar{n} > 0$. The case $\bar{n} = 0$ is the piecewise linear case and offers no difficulty. For an early investigation of the interpolation problem defined by (1) and more general problems, see [4].

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these circumstances the quantities M_j can be given explicitly and no other spline satisfying (1) has a bounded (n - 1)-th derivative. These assertions are an immediate consequence of the analysis contained in [1].

Assuming for the moment that we have solved the system (2) for the quantities M_j , we then could exhibit C(t) explicitly by proceeding as follows: Consider the related spline $\hat{C}(t)$ defined on $[0, \infty)$ by

We now extend the definition of $\hat{C}(t)$ to $(-\infty, \infty)$ by letting $\hat{C}(t) = \hat{C}(-t)$ for $t \leq 0$. Our definition of $\hat{C}(t)$ is such that

$$\hat{C}^{(n)}(t) = C^{(n)}(t) \qquad (-\infty < t < \infty) \tag{4}$$

or equivalently,

$$C(t) = \hat{C}(t) + P_{\infty}(t) \qquad (-\infty < t < \infty), \tag{5}$$

where $P_{\infty}(t)$ is a polynomial of degree n-1. In asserting that (4) is valid on $(-\infty, \infty)$ and not simply $[0, \infty)$ we are using the fact that the matrix in (2) is symmetric about the diagonal containing the entries $C_0(n)$ and the right hand member is symmetric about the entry $-\binom{2n}{n}$.

A straightforward procedure would be to determine $P_{\infty}(t)$ from *n* interpolation conditions such as

$$P_{\alpha}(j) = \delta_{0j} - \hat{C}(j) \qquad (j = 0, 1, ..., n - 1).$$
(6)

This, in fact, could be done with the result that the formula

$$C(t) = \frac{1}{n!} (M_1 - M_0) t^n + \frac{1}{n!} \sum_{K=1}^{j} (M_{K+1} - 2M_K + M_{K-1})(t - K)^N + P_{\infty}(t)$$
(7)

would be completely determined and would define C(t) on the interval [j, j + 1] for any nonnegative integer j. The formula C(t) = C(-t) would then define C(t) on the corresponding interval (-j - 1, -j).

Numerically, this is not eery satisfactory for large values of j since the evaluation of the summation is time-consuming and inherently suffers from rounding errors. Consequently, we modify our approach so as to obtain

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C(t) in a more compact form which sheds considerable light on its intrinsic structure.

In the cubic case it is known [2, 3] that

$$C(t) = (3\lambda + 2) t^{3} - 3(\lambda + 1) t^{2} + 1 \qquad (0 \le t \le 1),$$

$$C(t) = 3\lambda^{j}[(\lambda + 1)(t - j)^{3} - (\lambda + 2)(t - j)^{2} + (t - j)] \qquad (8)$$

$$(j \le t \le j + 1; \qquad j = 1, 2,...),$$

where $\lambda = -2 + \sqrt{3}$. Since C(t) is an even function, the relation C(t) = C(-t) defines C(t) on $(-\infty, 0)$. It follows from (8) that essentially only two cubic arcs are needed to define C(t) on $[0, \infty)$ and hence on $(-\infty, \infty)$: one for [0, 1] and one for [1, 2]. The arc for [j, j + 1] differs from the arc for [1, 2] only in that t - 1 is replaced by t - j and arc equation is multiplied by λ^{j-1} . We now seek to obtain the analogue of this result for higher odd values of n.

We have already utilized the auxiliary spline $\hat{C}(t)$ for which we have on $[0, \infty)$ the representation

$$\hat{C}(t) = \frac{1}{n!} \left(M_1 - M_0 \right) t^n + \frac{1}{n!} \sum_{j=K}^{j} \left(M_{K+1} - 2M_K + M_{K-1} \right) (t-K)^n \\ \left(0 \leqslant j \leqslant t \leqslant j+1 \right).$$
(9)

Expanding $(t - K)^n$ by the binomial theorem and interchanging the order of summation we obtain

$$\hat{C}(t) = \sum_{j=0}^{n} \omega_{lj} t^{l} \qquad (0 \leqslant j \leqslant t \leqslant j+1), \tag{10}$$

where

$$\omega_{lj} = \frac{1}{n!} \binom{n}{l} \sum_{K=1}^{j} (-K)^{n-l} (M_{K+1} - 2M_K + M_{K-1}) \qquad (l = 0, 1, ..., n-1),$$
(11)

$$\omega_{nj} = \frac{1}{n!} \left(M_1 - M_0 + \sum_{\substack{K=1\\j \ge K}}^{j} (M_{K+1} - 2M_K + M_{K-1}) \right) = \frac{1}{n!} (M_{j+1} - M_j).$$

Using Eq. (5) and the fact [1] that

$$M_{K} = O(|r_{\bar{n}}|^{K}), \qquad (12)$$

as $K \to \infty$ where $-1 < r_{\bar{n}} < 0$, it follows that $\lim_{j\to\infty} \omega_{lj}$ exists for l = 0, 1, ..., n. Moreover, since C(j) = 0 for j > 0, the growth of the non-constant terms of $\hat{C}(t)$ must be offset by that of the nonconstant terms of $P_{\infty}(t)$ as t becomes infinite. As a consequence, if we let

$$P_{\infty}(t) = \sum_{l=0}^{n} \omega_l t^l, \qquad (13)$$

then

$$\omega_{l} = -\lim_{j \to \infty} \omega_{lj}$$

$$= -\frac{1}{n!} \binom{n}{l} \sum_{K=1}^{\infty} (-K)^{n-l} (M_{K+1} - 2M_{K} + M_{K-1})$$
(14)

for l = 1, 2, ..., n. In particular,

$$\omega_{n-1} = -\lim_{j \to \infty} \omega_{n-1,j} = \frac{1}{(n-1)!} M_0.$$
 (15)

Also, we observe that

$$\omega_n = -\lim_{j \to \infty} \omega_{nj} = 0; \tag{16}$$

thus Eq. (16) is consistent with the fact that from earlier considerations $P_{\infty}(t)$ is known to be of degree n-1. Furthermore, since C(0) = 1, the constant term in $P_{\infty}(t)$ must be unity.

In view of the preceding discussion we are led to the representation

$$C(t) = \frac{1}{n!} (M_1 - M_0) t^n + \frac{1}{(n-1)!} M_0 t^{n-1} - \frac{1}{n!} \sum_{l=1}^{n-2} {n \choose l} \sum_{K=1}^{\infty} (-K)^{n-l} (M_{K+1} - 2M_K + M_{K-1}) t^l + 1 (0 \le t \le 1).$$
(17)

For $0 < j \le t \le j + 1$ we have

$$C(t) = \frac{1}{n!} (M_{j+1} - M_j) t^n$$

$$- \frac{1}{n!} \sum_{l=1}^{n-1} {n \choose l} \sum_{K=j+1}^{\infty} (-K)^{n-l} (M_{K+1} - 2M_K + M_{K-1}) t^l + \text{const}$$

$$= \frac{1}{n!} (M_{j+1} - M_j) t^n$$

$$- \frac{1}{n!} \sum_{K+j=1}^{\infty} (M_{K+1} - 2M_K + M_{K-1}) \sum_{l=1}^{n-1} {n \choose l} (-K)^{n-l} t^l + \text{const}$$

$$= \frac{1}{n!} \left[M_{j+1} - M_j + \sum_{K=j+1}^{\infty} (M_{K+1} - 2M_K + M_{K-1}) \right] t^n$$

$$- \frac{1}{n!} \sum_{K=j+1}^{\infty} (M_{K-1} - 2M_K + M_{K-1}) \sum_{l=0}^{n} {n \choose l} (-K)^{n-l} t^l + \text{const}$$

$$= -\frac{1}{n!} \sum_{K=j+1}^{\infty} (M_{K+1} - 2M_K + M_{K-1}) (t-K)^n + \text{const}$$

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We now express C(t) in powers of t - j. Thus, the constant term vanishes and

$$C(t) = -\frac{1}{n!} \sum_{K=j+1}^{\infty} (M_{K+1} - 2M_K + M_{K-1})(t - j - (K - j))^n + \text{const}$$

= $-\frac{1}{n!} \sum_{K=j+1}^{\infty} (M_{K+1} - 2M_K + M_{K-1}) \sum_{l=0}^{n} {n \choose l} (-1)^{n-l} (K - j)^{n-l} (t - j)^l + \text{const}$
= $-\frac{1}{n!} \sum_{l=1}^{n} (-1)^{n-l} {n \choose l} \left[\sum_{K=j+1}^{\infty} (M_{K+1} - 2M_K + M_{K-1})(K - j)^{n-l} \right] (t - j)^l.$

Consequently, we have

$$C(t) = \sum_{l=1}^{n} \alpha_{jl} (t-j)^{l} \qquad (0 < j \le t \le j+1),$$
(18)

where

$$\alpha_{jl} = (-1)^{n-l+1} \frac{1}{n!} \binom{n}{l} \sum_{K=j+1}^{\infty} (M_{K+1} - 2M_K + M_{K-1})(K-j)^{n-l}.$$
(19)

In view of (12) the sums in (19) are convergent so that the coefficients α_{jl} (l = 1, 2, ..., n) are well-defined.²

From the analysis in [1] it follows that

$$M_{-K} = M_{K} = n! \sum_{j=-\bar{n}}^{\bar{n}} (-1)^{j+1} {2\bar{n} \choose \bar{n}+j} a_{j+K}$$

$$= n! \sum_{j=-\bar{n}}^{\bar{n}} (-1)^{j+1} {2\bar{n} \choose \bar{n}+k} \sum_{\omega=1}^{\bar{n}} a_{\omega,j+K} \qquad (K = 0, 1, 2, ...),$$
(20)

where

$$a_{\omega,j+K} = \frac{r_{\omega}^{\bar{n}+|j+K|}}{P_{n}'(r_{\omega,1})} \qquad (\omega = 1, 2, ..., \bar{n}).$$
(21)

Here each r_{ω} is a nonzero root of the Hille polynomial $P_n(z, 1)$ interior to the unit interval. With no loss in generality we assume $r_{\bar{n}} < r_{\bar{n}-1} < \cdots < r_1$. Now let

$$M_{K}(\omega) = n! \sum_{j=-\bar{n}}^{\bar{n}} (-1)^{j+1} \left(\frac{2\bar{n}}{\bar{n}+j}\right) \frac{r_{\omega}^{\bar{n}+|j+K|}}{P_{n}'(r_{\omega,1})}$$
(22)

² Since the quantities M_j have not been specified up to this point, Eqs. (17)-(19) are applicable to a much larger class of interpolation problems on $(-\infty, \infty)$ than that defined by (1).

and let $C_{\omega}(t)$ be the spline defined by (17)-(19) with M_i replaced by $M_i(\omega)$ except that on the interval [0, 1] we replace the constant term by $1/\bar{n}$. Thus,

$$C(t) = \sum_{\omega=1}^{n} C_{\omega}(t).$$
(23)

It should be noted that in the sense that

$$C_{\omega}(j)=\frac{1}{n}\,\delta_{0j}\,,$$

the splines $C_{\omega}(t)$ resemble cardinal splines. However, except for $\bar{n} = 1$, they are not in $C^{n-1}(-\infty, \infty)$.

Suppose, now, that $K > \overline{n}$. Then,

$$M_{K}(\omega) = n! \sum_{j=-\bar{n}}^{\bar{n}} (-1)^{j+1} \left(\frac{2\bar{n}}{\bar{n}+j}\right) \frac{(r_{\omega})^{\bar{n}+j+K}}{P_{n}'(n,1)}$$

= $r_{\omega}n! \sum_{j=-\bar{n}}^{\bar{n}} (-1)^{j+1} \left(\frac{2\bar{n}}{\bar{n}+j}\right) \frac{(r_{\omega})^{\bar{n}+j+K-1}}{P_{n}'(r_{\omega},1)}$
= $r_{\omega}M_{K-1}(\omega).$ (24)

Consequently, for $j > \overline{n}$, we have

$$\begin{aligned} \alpha_{jl}(\omega) &= -\frac{1}{n!} \binom{n}{l} \sum_{K=j+1}^{\infty} \left[M_{K+1}(\omega) - 2M_{K}(\omega) + M_{K-1}(\omega) \right] (K-j)^{n-l} \\ &= -\frac{r_{\omega}}{n!} \binom{n}{l} \sum_{K=j+1}^{\infty} \left[M_{K}(\omega) - 2M_{K-1}(\omega) + M_{K-2}(\omega) \right] (K-j)^{n-l} \\ &= -\frac{r_{\omega}}{n!} \binom{n}{l} \sum_{K=j}^{\infty} \left[M_{K+1}(\omega) - 2M_{K}(\omega) + M_{K-1}(\omega) \right] (K-(j-1))^{n-l} \\ &= r_{\omega} \alpha_{j-1,l}(\omega) \qquad (l=0,1,2,...,n). \end{aligned}$$

Thus, since the constant term $\alpha_{j0}(\omega)$ vanishes when the index $j \ge \overline{n}$, we see for $j > \overline{n}$ that the arc of $C_{\omega}(t)$ on the interval $j \le t \le j + 1$ is the same as the arc of $C_{\omega}(t)$ on the interval $j - 1 \le t \le j$ except that t - j + 1 is replaced by t - j and the coefficients are multiplied by r_{ω} . It follows that each $C_{\omega}(t)$ behaves in the manner previously observed for C(t) itself in the cubic case except that there are $\overline{n} + 1$ arcs instead of two arcs.

Let us give $P_n(z, t)$ the representation

$$P_n(z, 1) = z(b_{-\bar{n}}z^{n-1} + b_{-\bar{n}+1}z^{n-2} + \dots + b_0z^{\bar{n}} + \dots + b_{\bar{n}}).$$
(26)

Consider the spline

$$B_n(t) = \sum_{l=-\bar{n}}^{\bar{n}} b_l C(t-l).$$
(27)

If $j \ge 2\bar{n}$, then on the interval $j \le t \le j+1$ we have

$$B_{n}(t) = \sum_{l=-\bar{n}}^{\bar{n}} b_{l} \sum_{\omega=1}^{\bar{n}} \sum_{s=1}^{n} \alpha_{j-l,s}(\omega)(t-j)^{s}$$

$$= \sum_{l=-\bar{n}}^{\bar{n}} b_{l} \sum_{\omega=1}^{\bar{n}} (r_{\omega})^{-l} \sum_{s=1}^{n} \alpha_{js}(\omega)(t-j)^{s}$$

$$= \sum_{\omega=1}^{\bar{n}} \left\{ \sum_{s=1}^{n} \alpha_{js}(\omega)(t-j)^{s} \right\} \left\{ \sum_{l=-\bar{n}}^{\bar{n}} b_{l}(r_{\omega})^{l} \right\}$$

$$= \sum_{\omega=1}^{\bar{n}} \frac{1}{(r_{\omega})^{n+1}} \left\{ \sum_{s=1}^{n} \alpha_{js}(\omega)(t-j)^{s} \right\} P_{n}(r_{\omega}, 1)$$

$$= 0, \qquad (28)$$

Thus, the spline $B_n(t)$ vanishes identically outside a region consisting of $2\overline{n}$ intervals on each side of t = 0.

In fact, aside from a constant factor the splines $B_n(t)$ are included among the splines with compact support considered by Schoenberg [4, 5]. Thus, the support of the splines is actually smaller than the preceding argument indicates. This is easily seen from the analysis contained in [4] and [6].

We close this paper with an example: we construct the cubic cardinal spline C(t) using our formulas and verify that the resulting equations are, indeed, in agreement with Eq. (8).

In the cubic case we have $\bar{n} = 1$ so that M_0 and M_1 are the only M_j that need be calculated. Let r_1 be denoted by λ . Then from (2)

$$M_{0} = 3! \sum_{j=-1}^{1} (-1)^{j+1} {2 \choose 1+j} \frac{\lambda^{1+|j+0|}}{P_{3}(\lambda, 1)}.$$

But

$$P_3(z, 1) = z(z^2 + 4z + 1),$$

so that

$$P_{3}'(z, 1) = (z^{2} + 4z + 1) + z(2z + 4).$$

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Consequently,

$$P_{\mathbf{3}}'(\lambda, 1) = \lambda^2 - 1,$$

since $\lambda^2 + 4\lambda + 1 = 0$. Thus,

$$M_{0} = \frac{6}{\lambda^{2} - 1} \left[\lambda^{2} - 2\lambda + \lambda^{2}\right]$$
$$= \frac{12\lambda}{\lambda + 1}.$$
(29)

Similarly,

$$M_{1} = \frac{6}{\lambda^{2} - 1} \sum_{j=-1}^{1} (-1)^{j+1} {\binom{2}{1+j}} \lambda^{2+j}$$
$$= \frac{6}{\lambda^{2} - 1} [\lambda - 2\lambda^{2} + \lambda^{3}]$$
$$= \frac{6\lambda(\lambda - 1)}{\lambda + 1}.$$
(30)

On the interval $0 \leq t \leq 1$ we have

$$\begin{split} C(t) &= \frac{1}{3!} \left(M_1 - M_0 \right) t^3 + \frac{1}{2} M_0 t^2 - \frac{1}{3!} \binom{3}{1} \sum_{K=1}^{\infty} K^2 (M_{K+1} - 2M_K + M_{K-1}) t + 1 \\ &= \frac{1}{6} \left(\frac{1}{2} \left(\lambda - 1 \right) - 1 \right) \frac{12\lambda}{\lambda + 1} t^3 + \frac{6\lambda}{\lambda + 1} t^2 \\ &- \frac{1}{2} [M_0 - 2M_1 + M_2 + 4M_1 - 8M_2 + 4M_3 + 9M_2 - 18M_3 + 9M_4 \\ &+ 16M_3 + 32M_4 + \cdots] t + 1 \\ &= \frac{(\lambda - 3)\lambda}{\lambda + 1} t^3 + \frac{6\lambda}{\lambda + 1} t^2 - \left[\frac{1}{2} M_0 + M_1 (1 + \lambda + \lambda^2 + \cdots) \right] t + 1 \\ &= \frac{(\lambda - 3)\lambda}{\lambda + 1} t^3 + \frac{6\lambda}{\lambda + 1} t^2 + \left(\frac{1}{2} + \frac{1}{2} (\lambda - 1) \frac{1}{1 - \lambda} \right) M_0 t + 1. \end{split}$$

Consequently,

$$C(t) = (3\lambda + 2) t^{3} - 3(\lambda + 1) t^{2} + 1, \qquad (31)$$

if we take into account the relation

$$\lambda^2 + 4\lambda + 1 = 0.$$

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Finally, on an interval $0 < j \le t \le j + 1$, we have

$$\begin{split} C(t) &= \frac{1}{3!} \sum_{i=1}^{3} (-1)^{3-i+1} {\binom{3}{l}} \sum_{K=j+1}^{\infty} (M_{K+1} - 2M_K + M_{K-1})(K-j)^{3-i}(t-j)^i \\ &= -\frac{1}{6} \times 3 \sum_{K=j+1}^{\infty} (M_{K+1} - 2M_K + M_{K-1})(K-j)^2(t-j) \\ &+ \frac{1}{6} \times 3 \sum_{K=j+1}^{\infty} (M_{K+1} - 2M_K + M_{K-1})(K-j)(t-j)^2 \\ &- \frac{1}{6} \sum_{K=j+1}^{\infty} (M_{K+1} - 2M_K + M_{K-1})(t-j)^3 \\ &= -\frac{1}{2} M_1 \sum_{K=j+1}^{\infty} (\lambda^2 - 2\lambda + 1) \lambda^{K-2}(K-j)^2(t-j) \\ &+ \frac{1}{2} M_1 \sum_{K=j+1}^{\infty} (\lambda^2 - 2\lambda + 1) \lambda^{K-2}(K-j)(t-j)^2 \\ &- \frac{1}{6} M_1 \sum_{K=j+1}^{\infty} (\lambda^2 - 2\lambda + 1) \lambda^{K-2}(t-j)^3 \\ &= -\frac{M_1}{2} (\lambda - 1)^2 \lambda^{j-1} \sum_{K=0}^{\infty} K^2 \lambda^{K-1}(t-j) \\ &+ \frac{M_1}{2} (\lambda - 1)^2 \lambda^{j-1} \sum_{K=0}^{\infty} \lambda^K (t-j)^3 \\ &= -\frac{M_1}{6} (\lambda - 1)^2 \lambda^{j-1} \sum_{K=0}^{\infty} \lambda^K (t-j)^3 \\ &= -\frac{M_1}{6} (\lambda - 1)^2 \lambda^{j-1} \left[(t-j)^3 \sum_{K=0}^{\infty} \lambda^K - 3(t-j)^2 \sum_{K=0}^{\infty} K \lambda^{K-1} \\ &+ 3(t-j) \sum_{K=0}^{\infty} K^2 \lambda^K \right] \\ &= -\frac{(\lambda - 1)^3 \lambda^j}{\lambda + 1} \left[\frac{1}{1-\lambda} (t-j)^3 - \frac{3}{(1-\lambda)^2} (t-j)^3 \right] \\ &= \frac{(1-\lambda)^2}{\lambda + 1} \lambda^j (t-j)^3 - \frac{3(1-\lambda)}{\lambda + 1} \lambda^j (t-j)^2 + 3\lambda^j (t-j). \end{split}$$

Again, since $\lambda^2 + 4\lambda + 1 = 0$, we obtain

$$C(t) = 3\lambda^{j}[(\lambda+1)(t-j)^{3} - (\lambda+2)(t-j)^{2} + (t-j)].$$
(32)

Since Eqs. (29) and (30) are identical with Eqs. (8) our formulas are verified for the cubic case. For $\bar{n} > 1$ no great algebraic simplification takes place, but our formulas are still quite amenable to numerical computation for reasonable values of \bar{n} .

One useful application of cardinal splines on $(-\infty, \infty)$ is that of obtaining a basis of splines for a finite interval [a, b]. We can assume without loss in generality that b - a is an integer since the modifications necessary for an arbitrary spacing h are minor. We now take the translations of C(t) so that each such translation has its non-zero nodal point at one of the nodes in [a, b]. If this is done, and the resulting splines are restricted to [a, b], then -neglecting end conditions—we have the desired basis. To satisfy end conditions we add the restrictions of the translations centered at the \bar{n} nodal points immediately to the left of t = a and immediately to the right of t = b. Since all the basis splines are translates of the single spline C(t) only one spline is really involved. Thus a considerable saving in computer storage requirements can be made.

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